◆ Attaching encapsulants or enclosures to deteriorating structural members that may not be able to support the integrity of the enclosure or the additional weight of the encapsulant.

◆ Applying liquid encapsulants to deteriorated substrates.

◆ Replacing window sashes in frames that are severely deteriorated.

◆ Washing horizontal surfaces without stabilizing chalking vertical painted surfaces.

◆ Cleaning surfaces that are not sealed or made “cleanable.”

◆ Cleaning highly soiled furnishings and carpets, instead of replacing them.

◆ Mulching or covering lead-contaminated soil in areas where pets tend to sleep or dig.

◆ Planting grass seed in high-traffic areas.

Of course, the risk assessor must also emphasize the severe danger of using prohibited methods of lead hazard control, such as uncontained abrasive, sand, or water blasting; power sanding; or open-flame burning of painted surfaces.

C. How to Determine Site-Specific Reevaluation Schedules

The risk assessor is responsible for recommending a site-specific reevaluation schedule. The schedule depends on a variety of factors, including the hazard control method implemented, the general condition and maintenance of the building, and the degree of leaded dust contamination. Chapter 6 contains a complete discussion of Standard Reevaluation Schedules.

D. Recommendations to Owners When No Hazards Are Identified

If no lead hazards are identified, but no lead-based paint inspection has been completed, the risk assessor should recommend to the owner that the painted surfaces be treated as though they contain lead. The risk assessor should encourage the owner to obtain an inspection, since no further reevaluation may be needed if it can be shown that no lead-based paint is present. Otherwise, the risk assessor should simply indicate that lead hazards are well controlled for now, but that lead hazards could still emerge in the event of paint deterioration or disturbance.

E. Report Format and Statements of Compliance

The following format is recommended for risk assessment reports:

Part I: Identifying Information

Identity of dwelling(s) covered by report, identity of property(ies).

1. Risk Assessor, Name and Number of Certificate (or License), and State Issuing Certificate/License.

2. Property Owner Name, Address, and Phone Number.

3. Date of Report and Date of Environmental Sampling.

Part II: Completed Management, Maintenance, and Environmental Results Forms and Analyses

4. List of Location and Type of Identified Lead Hazards and Summary of Optional Hazard Control Methods (including an indication of which hazards are priorities—this summary should be suitable for use as notification to residents).

5. Optional Management Information (Form 5.6) (not required for homeowners).

6. Maintenance/Paint Condition Information (Form 5.2 or 5.7).

7. Building Condition (Form 5.1).

8. Brief Narrative Description of Dwelling Selection Process (not required if all dwellings were sampled).
Chapter 5: Risk Assessment


10. Deteriorated Paint Sampling Results (Form 5.3 or 5.3a).

11. Dust Sampling Results (Form 5.4 or 5.4a).

12. Soil Sampling Results (Form 5.5).

13. Other Sampling Results (if applicable).

Part III: Lead Hazard Control Plan

14. Lead-Based Paint Policy Statement (not applicable for homeowners).

15. Name of Individual in Charge of Lead-Based Paint Hazard Control Program.

16. Recommended Changes to Work Order System and Property Management (optional, not applicable for homeowners or property owners without work order systems).

17. Acceptable Interim Control Options and Estimated Costs.


19. Reevaluation Schedule (if applicable).

The information outlined above should be presented to the owner for consideration. The risk assessor should explain the various hazard control options and answer any questions that might arise. With or without the help of the risk assessor, the owner must decide which hazard control option is most appropriate. The final report for the owner should include the following information:

20. Interim Control/Abatement to Be Implemented in This Property (if known by the risk assessor).

21. A Training Plan for Managers, Maintenance Supervisors, and Workers (including named individuals), if applicable.

22. Method of Resident Notification of Results of Risk Assessment and Lead Hazard Control Program (not applicable for homeowners). Note: This section should include a discussion of how residents are to be educated about lead poisoning, before the risk assessment results are released.

23. Signature (Risk Assessor) and Date.

Part IV: Appendix

24. All Laboratory Raw Data.

See Appendix 8 for two examples of completed risk assessment reports.

If the owner remains undecided about which hazard control method to use, the risk assessor should state that no hazard controls have been implemented as of the date on the report. Subject to Federal and local laws and regulations, a statement of lead-based paint hazard compliance (with an expiration date based on the Reevaluation Schedule) may be provided by the risk assessor (or local enforcement agency) following the successful implementation of the accepted interim control or abatement method(s) and any associated clearance sampling.
Form 5.0  
Resident Questionnaire  
(To be completed by risk assessor via interview with resident.)

**Children/Children’s Habits**

1. **(a)** Do you have any children that live in your home?  
   Yes _____  No_____  
   (If no children, skip to Question 5.)
   **(b)** If yes, how many?_____  Ages? _____ _____ _____ _____ _____
   **(c)** Record blood lead levels, if known. _____ _____ _____ _____ _____
   **(d)** Are there women of child-bearing age present?  
   Yes _____  No_____  

2. Location of the rooms/areas where each child sleeps, eats, and plays.

<table>
<thead>
<tr>
<th>Name of child</th>
<th>Location of bedroom</th>
<th>Location of all rooms where child eats</th>
<th>Primary location where child plays</th>
<th>Primary location where child plays</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>indoors</td>
<td>outdoors</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
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<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

3. Where are toys stored/kept? ________________________________

4. Is there any visible evidence of chewed or peeling paint on the woodwork, furniture, or toys?  
   Yes _____  No_____  

**Family Use Patterns**

5. Which entrances are used most frequently?  
   __________________________________

6. Which windows are opened most frequently?  
   __________________________________

7. Do you use window air conditioners? If yes, where?  
   (Condensation often causes paint deterioration)  
   __________________________________

8. **(a)** Do any household members garden?  
   Yes _____  No_____  
   **(b)** Location of garden.  
   __________________________________
   **(c)** Are you planning any landscaping activities that will remove grass or ground covering?  
   Yes_____  No_____  

9. **(a)** How often is the household cleaned?  
   __________________________________
   **(b)** What cleaning methods do you use?  
   __________________________________

10. **(a)** Did you recently complete any building renovations?  
    Yes_____  No_____  
    **(b)** If yes, where?  
    __________________________________
    **(c)** Was building debris stored in the yard? If yes, where?  
    __________________________________

11. Are you planning any building renovations? If yes, where?  
    __________________________________

12. **(a)** Do any household members work in a lead-related industry?  
    Yes_____  No_____  
    **(b)** If yes, where are dirty work clothes placed and cleaned?  
    __________________________________
**Form 5.1**

**Building Condition Form**

<table>
<thead>
<tr>
<th>Condition</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Roof missing parts of surfaces (tiles, boards, shakes, etc.)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Roof has holes or large cracks</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gutters or downspouts broken</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chimney masonry cracked, bricks loose or missing, obviously out of plumb</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Exterior or interior walls have obvious large cracks or holes, requiring more than routine pointing (if masonry) or painting</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Exterior siding has missing boards or shingles</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Water stains on interior walls or ceilings</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Plaster walls or ceilings deteriorated</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Two or more windows or doors broken, missing, or boarded up</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Porch or steps have major elements broken, missing, or boarded up</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Foundation has major cracks, missing material, structure leans, or visibly unsound</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* Total number

* If the “Yes” column has two or more checks, the dwelling is usually considered to be in poor condition for the purposes of a risk assessment. However, specific conditions and extenuating circumstances should be considered before determining the final condition of the dwelling and the appropriateness of a lead hazard screen.

Notes:
**Form 5.2**
**Paint Conditions on Selected Surfaces**
*(Single-Family, Owner-Occupied)*

<table>
<thead>
<tr>
<th>Building component</th>
<th>Location Notes</th>
<th>Paint condition (intact, fair, poor, or not present) to be completed by risk assessor</th>
<th>Deterioration due to friction or impact?</th>
<th>Deterioration due to moisture?</th>
<th>Location of painted component with visible bite marks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Building siding</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Exterior trim</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Exterior windows</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Exterior doors</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Railings</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Porch floors</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other porch surfaces</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Interior doors</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ceilings</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Walls</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Interior windows</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Interior floors</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Interior trim</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stairways</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Radiator (or radiator cover)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kitchen cabinets</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bathroom cabinets</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other surfaces:</td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

If the overall condition of a component is similar throughout a dwelling, that condition should be recorded. If a component in a couple of locations is in poor condition, but the overall condition is good or fair, the specific sites of the badly deteriorated paint should be noted. The specific locations of any component with bite marks should be recorded.
Form 5.3
Field Sampling Form for Deteriorated Paint
(One form for each housing unit, common area, or exterior)

Name of risk assessor ___________________________
Name of property owner ___________________________
Property address ___________________________ Apt. no.___________

Dwelling selection protocol _____All dwellings _____Targeted _____Worst case _____Random

Target dwelling criteria (check all that apply)

_____ Code violations
_____ Judged to be in poor condition
_____ Presence of two or more children between ages of 6 months and 6 years
_____ Serves as day-care facility
_____ Recently prepared for reoccupancy
_____ Random sampling

<table>
<thead>
<tr>
<th>Sample number</th>
<th>Room</th>
<th>Building component</th>
<th>Lead (mg/cm² or µg/g)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
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</tbody>
</table>

HUD interim standard 5,000 µg/g or 1 mg/cm²

Sample all layers of paint, not just deteriorated paint layers.

Total number of samples on this page

Page _________ of _________

Date of sample collection____/____/____ Date shipped to lab____/____/____

Shipped by ________________________________ Received by ________________________________

(signature) (signature)

Date results reported ____/____/____ Analyzed by_____________________________

Approved by_____________________________
Form 5.3a
Field Sampling Form for Deteriorated Paint
(Composite Sampling)

Name of risk assessor ___________________________
Name of property owner ___________________________
Property address ____________________ Apt. no.___________
Dwelling selection protocol _____All dwellings _____Targeted _____Worst case _____Random
Target dwelling criteria (check all that apply)

<table>
<thead>
<tr>
<th>Code violations</th>
<th>Judged to be in poor condition</th>
<th>Presence of two or more children between ages of 6 months and 6 years</th>
<th>Serves as day-care facility</th>
<th>Recently prepared for reoccupancy</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Composite samples number</th>
<th>Component sample</th>
<th>Rooms included in sample</th>
<th>Duplicate subsample number</th>
<th>Size of subsample (cm)</th>
<th>Lab result (mg/cm²)</th>
<th>Lab result (µg/g)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

HUD interim standard* 1.0* 5,000*

* For composite samples, the HUD standard must be divided by the number of subsamples in the composite sample.

Total number of samples on this page________

Page ___________ of ___________

Date of sample collection____/____/____ Date shipped to lab____/____/____

Shipped by ________________________________ Received by ________________________________

(signature) (signature)
Form 5.4
Field Sampling Form for Dust
(Single-Surface Sampling)

Name of risk assessor ___________________________
Name of property owner ___________________________
Property address ____________________ Apt. no.___________
Dwelling selection protocol _____ All dwellings _____ Targeted _____ Worst case _____ Random
Target dwelling criteria (check all that apply)

- _____ Code violations
- _____ Judged to be in poor condition
- _____ Presence of two or more children between ages of 6 months and 6 years
- _____ Serves as day-care facility
- _____ Recently prepared for reoccupancy

<table>
<thead>
<tr>
<th>Sample number</th>
<th>Room (record name of room used by the owner or resident)</th>
<th>Surface type (circle the type)</th>
<th>Is surface smooth and cleanable?</th>
<th>Dimensions¹ of sample area (inches x inches)</th>
<th>Area (ft²)</th>
<th>Result of lab analysis (µg/ft²)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Playroom</td>
<td>Floor</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Playroom</td>
<td>Interior window sill or window trough</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Kitchen</td>
<td>Floor</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Kitchen</td>
<td>Interior window sill or window trough</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Bedroom 1</td>
<td>Floor</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Bedroom 1</td>
<td>Interior window sill or window trough</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Bedroom 2</td>
<td>Floor</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Bedroom 2</td>
<td>Interior window sill or window trough</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

¹ Measure to the nearest 1/8 inch.

HUD standards: 100 µg/ft² (floors), 500 µg/ft² (interior window sills), 800 µg/ft² (window troughs)

Total number of samples on this page________

Page _________ of _________

Date of sample collection____/____/____ Date shipped to lab____/____/____

Shipped by ______________________________ Received by ______________________________

(signature) (signature)
### Form 5.4a

**Field Sampling Form for Dust**

(Composite Sampling)

<table>
<thead>
<tr>
<th>Sample number</th>
<th>(Record name of rooms used by the owner or resident to be included in sample)</th>
<th>Dimension of surface sampled in each room (inches x inches)</th>
<th>Total surface area sampled (ft²)</th>
<th>Type of surface sampled</th>
<th>Is surface smooth and cleanable?</th>
<th>Lab result (µg/ft²)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
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</tr>
</tbody>
</table>

1 Measure to the nearest 1/8 inch.

HUD standards: 100 µg/ft² (floors), 500 µg/ft² (interior window sills), 800 µg/ft² (window troughs)

- Total number of samples on this page________
- Page _________ of __________
- Date of sample collection____/____/____ Date shipped to lab____/____/____
- Shipped by ________________________________ Received by ________________________________
  (signature) (signature)
Form 5.5
Field Sampling Form for Soil
(Composite Sampling Only)

Name of risk assessor ___________________________
Name of property owner ___________________________
Property address ___________________________

<table>
<thead>
<tr>
<th>Sample number</th>
<th>Location</th>
<th>Bare or covered</th>
<th>Lab result (µg/g)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Building perimeter</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Building perimeter</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Play area 1 (describe)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Play area 2 (describe)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>HUD interim standard for play area</td>
<td>400</td>
<td></td>
<td></td>
</tr>
<tr>
<td>HUD interim standard for perimeter</td>
<td>2,000</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Collect only the top 1/2 inch of soil.

Total number of samples on this page________
Page _________ of __________

Date of sample collection____/____/____ Date shipped to lab____/____/____
Shipped by ___________________________________ Received by ________________________
  (signature)                                      (signature)
User Instructions for Form 5.6

The risk assessor should use Form 5.6 to evaluate the property owner’s management capabilities with regard to lead-based paint hazard controls. The risk assessor should briefly explain the purpose and content of the form to the owner to make sure that the type and scope of information requested is understood. All of the information should be supplied by the owner or a representative of the owner, either in writing or through an interview.

Part 1 of Form 5.6 requests background information about the property and additional data about the physical condition of each dwelling and the number of young children in residence.

Part 2 requests information about the management of the dwellings:

1. Staffing

Determine which management and maintenance personnel (by name and job title) are charged with responsibility for dealing with lead-based paint hazards. This typically includes the owner, manager, director of maintenance, centralized maintenance staff, and site maintenance staff. The risk assessor can help the owner determine which staff positions could be involved in lead hazard control efforts and identify the key contact persons.

Smaller scale multifamily housing is more likely to have a simplified management structure. Indeed, the owner may also act as manager and maintenance worker. If there is a division of labor between owner and manager, or manager and maintenance worker, the risk assessor should attempt to determine who has the recognized authority to handle lead-based paint issues.

2. Lead Hazard Control Policy Statement (optional)

Determine if the property management has established a lead hazard control policy statement. If so, review the statement. If no statement exists, the risk assessor may help the owner draft such a statement as an indication of a good faith effort to control lead hazards. See the section on Management of Multiple Dwellings for a sample lead hazard control policy statement.

3. Previous Lead-Based Paint Evaluations

Determine if previous lead-based paint testing has been completed. If so, obtain and review a copy of the report, using the criteria outlined in the section on Evaluating Previous Paint Testing.

4. Previous Lead Hazard Control Activity

Determine if previous lead-based paint abatement or hazard reduction has been completed. If so, obtain and review a copy of the report. Determine if clearance dust testing was completed following abatement.

5. Turnover Procedure

Determine how a vacant dwelling is prepared for reoccupancy. For example, the method of cleaning used on a dwelling prior to turnover should be analyzed.

6. Employee Health and Safety Plan

Determine if the property management has an employee health and safety plan. Employees working with lead hazards are required by OSHA to be involved in a Hazard Communication Program. After reviewing the current state of knowledge and hazard control practices, the risk assessor should help the owner develop site-specific management and maintenance plans.

7. HEPA Vacuum

Determine if a HEPA vacuum is available to clean up lead-contaminated dust.

8. Onsite Day-Care Facilities
**User Instructions for Form 5.6**

Determine if the property management operates or permits the onsite operation of day-care facilities (either formal or informal). Also, determine if there are onsite recreation halls or facilities operated by the owner that are frequented by young children. These spaces should be sampled by the risk assessor.

9. **Management of Cases of Children with Elevated Blood Lead Levels**

Determine if the property management has a plan to deal with children who have an elevated blood lead level. If necessary, the risk assessor should help the owner develop a plan.

10. **Routine Inspections**

If the owner or manager conducts periodic housing quality inspections, determine whether or not those inspections examine the condition of painted surfaces and could be used to identify lead hazards. The risk assessor will often recommend that the owner or manager conduct periodic inspections to ensure that lead hazard control treatments retain their effectiveness.

11. **Code Violations**

Determine if the dwellings have been cited for any housing code violations in the past several years. Dwellings that have been cited should be identified for targeted sampling.

12. **Resident Notification**

Determine if the owner has notified residents about known lead hazards at the property.
NOTE: This form is designed for multiple rental dwellings under one ownership. Such dwellings may be in one property or many.

**Part 1: Identifying Information**

Name of property owner _____________________________________

Name of building or development (if applicable) ____________________________

Number of dwelling units__________

Number of buildings_______

Number of individual dwelling units/building ____

Date of construction (if one property) __________ (if between 1960–1978, consider a screen risk assessment)

Date of substantial rehab, if any _______

List of addresses of dwellings (attach list if more than 10 dwellings are present)

<table>
<thead>
<tr>
<th>Street address, city, State</th>
<th>Dwelling unit no.</th>
<th>Year built (if known)</th>
<th>Number of children 0–6 years old</th>
<th>Recent code violation reported by owner?</th>
<th>Chronic maintenance problem reported by owner?</th>
</tr>
</thead>
<tbody>
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</tbody>
</table>

Record number and locations of common child play areas (onsite playground, backyards, etc.)

Number _____

_________________________ ________________ __________________

_________________________ ________________ __________________

_________________________ ________________ __________________
Part 2: Management Information

1. List names of individuals who have responsibility for lead-based paint. Include owner, property manager (if applicable), maintenance supervisor and staff (if applicable), and others. Include any training in lead hazard control work (by inspector, supervisor, worker, etc.) that has been completed. Use additional pages, if necessary.

This information will be needed to devise the risk management plan contained in the risk assessor’s report.

<table>
<thead>
<tr>
<th>Name</th>
<th>Position</th>
<th>Training completed (if none, enter “None”)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Owner</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Property manager</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Maintenance</td>
<td></td>
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<td></td>
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</tr>
</tbody>
</table>

2. Have there been previous lead-based paint evaluations?

   ______ Yes ______ No (If yes, attach the report)

3. Has there been previous lead hazard control activity?

   ______ Yes ______ No (If yes, attach the report)

4. Maintenance usually conducted at time of dwelling turnover, including typical cleaning, repainting, and repair activity.

   Repainting: _________________________________
   Cleaning: ___________________________________
   Repair: _____________________________________
   Other: ______________________________________
   Comments: _________________________________

5. Employee and worker safety plan
   a. Is there an occupational safety and health plan for maintenance workers?

      ______ Yes ______ No (If yes, attach plan)

   b. Are workers trained in lead hazard recognition?

      ______ Yes ______ No If yes, who performed the training? ________________________________

Form 5.6 (continued)

c. Are workers involved in a hazard communication program?
   ______ Yes ______ No

d. Are workers trained in proper use of respirators?
   ______ Yes ______ No

e. Is there a medical surveillance program?
   ______ Yes ______ No

6. Is a HEPA vacuum available?
   ______ Yes ______ No

7. Are there any onsite licensed or unlicensed day-care facilities?
   ______ Yes ______ No If yes, give location ____________________________

8. Planning for resident children with elevated blood lead levels
   a. Who would respond for the owner if a resident child with an elevated blood lead level is identified?
      ________________________________________________________________
   b. Is there a plan to relocate such children?
      ______ Yes ______ No If yes, where? _______________________________
   c. Does the owner know if there ever has been a resident child with an elevated blood lead level?
      ______ Yes ______ No ______ Unknown

9. Owner Inspections
   a. Are there periodic inspections of all dwellings by the owner?
      ______ Yes ______ No If yes, how often? ____________________________
   b. Is the paint condition assessed during these inspections?
      ______ Yes ______ No

10. Have any of the dwellings ever received a housing code violation notice?
    ______ Yes ______ No ______ Unknown

    If yes, describe code violation________________________________________

11. If previously detected, unabated lead-based paint exists in the dwelling, have the residents been informed?
    ______ Yes ______ No ______ Not Applicable
Form 5.7
Maintenance Data for Rental Dwellings

Recorded during onsite investigation.

1. Condition of paint on selected surfaces

<table>
<thead>
<tr>
<th>Building component</th>
<th>Paint condition (intact, fair, poor, or not present) to be completed by risk assessor</th>
<th>Deterioration due to friction or impact</th>
<th>Deterioration due to moisture</th>
<th>Location of painted component with visible bite marks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Building siding</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Exterior trim</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Exterior windows</td>
<td></td>
<td></td>
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<tr>
<td>Exterior doors</td>
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<tr>
<td>Railings</td>
<td></td>
<td></td>
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<td></td>
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<tr>
<td>Porch floors</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Other porch surfaces</td>
<td></td>
<td></td>
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<tr>
<td>Interior doors</td>
<td></td>
<td></td>
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<tr>
<td>Ceilings</td>
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<tr>
<td>Walls</td>
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<tr>
<td>Interior windows</td>
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<tr>
<td>Interior floors</td>
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<tr>
<td>Interior trim</td>
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<tr>
<td>Stairways</td>
<td></td>
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<tr>
<td>Radiator (or radiator cover)</td>
<td></td>
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<tr>
<td>Kitchen cabinets</td>
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<tr>
<td>Bathroom cabinets</td>
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<tr>
<td>Other surfaces:</td>
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</tbody>
</table>

If the overall condition of a component is similar throughout a dwelling, that condition should be recorded. If a component in a couple of locations is in poor condition, but the overall condition is good or fair, the specific sites of the badly deteriorated paint should be noted. The specific locations of any component with bite marks should be recorded.
2. Painting frequency and methods
   a. How often is painting completed? every ________ years
   b. Is painting completed upon vacancy, if necessary?
      ______ Yes ______ No
   c. Who does the painting? ______ Property Owner ______ Residents
      (If residents, skip to Question 2)
   d. Is painting accompanied by scraping, sanding, or paint removal?
      ______ Yes ______ No
   e. How are paint dust/chips cleaned up? (check one)
      ______ Sweeping ______ Vacuum ______ Mopping ______ HEPA/wet wash/HEPA cycle
   f. Is the work area sealed off during painting?
      ______ Yes ______ No
   g. Is furniture removed from the work area?
      ______ Yes ______ No
   h. If no, is furniture covered with plastic during work?
      ______ Yes ______ No

3. Is there a preventive maintenance program?
   ______ Yes ______ No

4. Describe work order system (if applicable, attach copy of work order form).

5. How are resident complaints received and addressed? How are requests prioritized? If formal work orders are
   issued, is the presence or potential presence of lead-based paint considered in the work instructions?

6. Record location of dwellings recently prepared for reoccupancy.
   ______________________  ______________________  ______________________

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